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Request for Risk Adjusted Medical Record Review Audit

As participating providers with the Medicare program, we understand we are required, within the bounds of reasonability, to provide medical record information to our contracted Medicare Advantage Plans when appropriately requested for Risk Adjusted Audits.

Midwest Nephrology Consultants, P.A., will cooperate with reasonable requests as follows:

- Requests for reviews of ten (10) records or under should be able to be accommodated within a two (2) week period and should be able to be handled by MNC staff and returned via fax or mail – whichever is most convenient for MNC.
- Requests for reviews of over ten (10) records: MNC will request that a member of the MA plan or its authorized representative schedule an on-site review. MNC medical records personnel will provide a brief training session for our EHR program and a secured, on-site, limited access will be granted for the MA staff/designee to review the records electronically. Should anything from the record need to be printed, this will be allowed and performed by the MA staff/designee.
- CMS rules are clear that risk adjustment claims may be submitted by the MA Plan only if the diagnosis meets ICD-9-CM standards and there is specific documentation in the record that the beneficiary was treated face-to-face by a Midwest Nephrology Consultants, P.A., provider during the review year in question.
- Under the False Claims Act, any person who submits a false or fraudulent claim to the United States or causes someone else to submit a false or fraudulent claim may be liable for three (3) times the amount of the false claim plus an additional penalty of up to \$11,000 for each false claim... to avoid this risk, we will request from the MA Plan prior to any review taking place:
 1. The coding review rules which will be utilized by the MA Plan to identify “New Diagnoses”.
 2. Once the coding review rules have been received and are approved by MNC, we will allow the record review under the auspices noted above.
 3. Once the records have been reviewed, MNC will require the MA Plan, prior to submitting any risk adjustment claim made for our patients:
 - To provide a copy of that risk adjusted claim to MNC.
 - MNC will then be allowed to review those risk adjustment changes to ensure that any newly identified diagnosis or correction is accurate prior to that claim adjustment being released to Medicare/CMS.
 4. In the case of a dispute between the MA Plan’s review and MNC’s review, the MA Plan will agree to an independent review in order to qualify a risk adjusted claim’s accuracy before presenting that claim to Medicare/CMS.

Have the MA Plan’s representative sign the below acknowledgment of the parameters under which any such risk adjustment audit will take place.

Questions can be directed to: Syd Stevens, CPC, CIMC, Administrator for Midwest Nephrology Consultants, P.A. 816 276-1770.
Thank you for your cooperation.

Risk Adjusted Audit Authorization and Release

MA Plan Name: _____

MA Plan Representative: _____ Phone : _____

As the authorized representative for the above referenced Medicare Advantage Plan, I have read and understand the attached and agree to those parameters under which any review of Midwest Nephrology Consultants, P.A.’s medical record information will take place.

Medicare Advantage Plan Representative Signature

Date

Once this risk adjusted audit authorization & release is received, an MNC representative will contact you to discuss the chart retrieval process.

Download form and Click the Submit Button to complete this form electronically or return this form to:

Misse Larsen – Medical Records Assistant – Risk Adjustment Audit Coordinator at

Mlarsen@mncpc.com or 816 276-1703 (fax) or

Midwest Nephrology Consultants, P.A., 6400 Prospect, Suite 480A, Kansas City, MO 64132